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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/675,685

09/30/2003

Yizhong Gu

PB0114

5869

7590

02/08/2006

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EXAMINER

RAMIREZ, DELIA M

ART UNIT

PAPER NUMBER

1652

DATE MAILED: 02/08/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/675,685	<b>Applicant(s)</b> GU ET AL.	
	<b>Examiner</b> Delia M. Ramirez	<b>Art Unit</b> 1652	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <sup>1/2</sup> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 17,30,32,33,35 and 37-53 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_ is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 17,30,32,33,35 and 37-53 are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |                                                                                         |                                                                             |
|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. ____.                                                |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date ____.                                                             | 6) <input type="checkbox"/> Other: ____.                                    |

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## **DETAILED ACTION**

### ***Status of the Application***

Claims 17, 30, 32-33, 35, 37-53 are pending.

Applicant's preliminary amendment canceling claims 1-16, 18-29, 31, 34, 36 and addition of claims 37-53 in a communication filed on 9/30/2003 is acknowledged.

### ***Election/Restrictions***

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 17, 53, drawn to a nucleic acid encoding the polypeptide of SEQ ID NO:16, and compositions comprising said nucleic acid classified in class 536, subclass 23.2.
  - II. Claims 30, 51, drawn in part to the polypeptide of SEQ ID NO:3, classified in class 435, subclass 226.
  - III. Claims 30, 51, drawn in part to the polypeptide of SEQ ID NO:10, classified in class 435, subclass 226.
  - IV. Claims 30, 51, drawn in part to the polypeptide of SEQ ID NO:16, classified in class 435, subclass 226.
  - V. Claims 32-33, 52, drawn in part to an antibody which binds to the polypeptide of SEQ ID NO:7, and compositions comprising said antibody, classified in class 530, subclass 387.9.
  - VI. Claims 32-33, 52, drawn in part to an antibody which binds to the polypeptide of SEQ ID NO:14, and compositions comprising said antibody, classified in class 530, subclass 387.9.
  - VII. Claims 32-33, 52, drawn in part to an antibody which binds to the polypeptide of SEQ ID NO:18, and compositions comprising said antibody, classified in class 530, subclass 387.9.
  - VIII. Claim 35, drawn to a method of diagnosis of dysgenic pregnancies, classified in class

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436, subclass 501.

- IX. Claims 37-50, drawn to a nucleic acid encoding the polypeptide of SEQ ID NO:10, vectors, arrays, and host cells comprising said nucleic acid, classified in class 536, subclass 23.2.

The inventions are distinct, each from the other because of the following reasons:

2. Groups I-VII and IX each comprise a chemically unrelated structure capable of separate manufacture, use, and effect. The nucleic acids of Groups I, IX comprise purine and pyrimidine units, and the proteins of Groups II-VII comprise amino acids. Furthermore, the nucleic acids of Groups I, IX comprise unrelated nucleotide sequences whereas the proteins of Groups II-VII comprise unrelated amino acid sequences. The antibodies of Groups V-VII are structurally different from the proteins of Groups II-IV since the antibodies comprise heavy and light chains, whereas the proteins of Groups II-IV have been disclosed as enzymes. Therefore, the products of Groups I-VII and IX are structurally and functionally distinct molecules. The nucleic acids of Groups I, IX have other uses besides encoding the proteins of Groups III-IV, such as hybridization probes or in gene therapy. Further, the proteins of Groups III-IV can be prepared by processes which are materially different from recombinant expression of the nucleic acids of Groups I, IX, such as by chemical synthesis, or by isolation and purification from natural sources. The protein of Group II is not encoded by the nucleic acids of Groups I or IX and cannot be produced by recombinant expression of the nucleic acids of Groups I or IX. The antibodies of Groups V-VII are not encoded by the nucleic acids of Groups I, IX and cannot be recombinantly produced by expression of the nucleic acids of Groups I, IX.
3. The inventions of Groups II-IV are members of an improper Markush group as the proteins of Groups II-IV do not have unity of invention according to MPEP § 803.02. Each of the proteins of Groups II-IV comprise an unrelated nucleotide sequence. As such, each of the proteins of Groups II-IV can be used to elicit different antibodies. Therefore, there is no unity of invention within the members of

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the Markush group as there is no shared common utility and there is no shared substantial structural feature disclosed as being essential to that utility.

4. The inventions of Groups V-VII are members of an improper Markush group as the antibodies of Groups V-VII do not have unity of invention according to MPEP § 803.02. Each of the antibodies of Groups V-VII would detect different proteins as indicated in the specification (i.e., PAPP-Ea, PAPP-Eb, and PAPP-Ec). Therefore, there is no unity of invention within the members of the Markush group as there is no shared common utility and there is no shared substantial structural feature disclosed as being essential to that utility.

5. Inventions V-VIII are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the method of Invention VIII can be practiced with the different antibodies of Inventions V-VII. Also, the antibodies of Inventions V-VII can be used for affinity purification.

6. Inventions I-IV, VIII and IX are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case neither the nucleic acids of Inventions I, IX nor the proteins of Inventions II-IV are used or made by the method of Invention VIII.

7. As set forth in MPEP § 803, the criteria for a proper restriction between patentably distinct inventions requires that the inventions must be independent or distinct as claimed, and a search of all the inventions would impose a serious burden on the examiner. Groups I-IX have been shown to be independent or distinct, for the reasons set forth above. MPEP § 803 also indicates that a serious burden on the examiner may be prima facie shown if the Examiner shows by appropriate explanation either

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separate classification, separate status in the art, or a different field of search. The inventions of Groups I-IX have acquired a separate status in the art because of their recognized divergent subject matter, as shown by their different classification. In addition, a search of all the inventions would require at a minimum a separate patented/non-patented literature search and a class/subclass search. These searches are not all co-extensive. Therefore a comprehensive examination of all groups would impose an undue burden on the Examiner. Thus, restriction for examination purposes as indicated is proper.

8. The Examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and a product claim is subsequently found allowable, withdrawn process claims that depend from or otherwise include all the limitations of the allowable product claim will be rejoined in accordance with the provisions of MPEP § 821.04. Process claims that depend from or otherwise include all the limitations of the patentable product will be entered as a matter of right if the amendment is presented prior to final rejection or allowance, whichever is earlier. Amendments submitted after final rejection are governed by 37 CFR 1.116; amendments submitted after allowance are governed by 37 CFR 1.312.

9. In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103, and 112. Until an elected product claim is found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowed product claim will not be rejoined. See "Guidance on Treatment of Product and Process Claims in light of *In re Ochiai*, *In re Brouwer* and 35 U.S.C. § 103(b)," 1184 O.G. 86 (March 26, 1996). Additionally, in order to retain the right to rejoinder in accordance with the above policy, Applicant is advised that the process claims should be amended during prosecution either to maintain dependency on

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the product claims or to otherwise include the limitations of the product claims. Failure to do so may result in a loss of the right to rejoinder. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

10. Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement can be traversed (37 CFR 1.143).

11. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

12. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Delia M. Ramirez whose telephone number is (571) 272-0938. The examiner can normally be reached on Monday-Friday from 8:30 AM to 5:00 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dr. Ponnathapura Achutamurthy can be reached on (571) 272-0928. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (571) 272-1600.



Delia M. Ramirez, Ph.D.  
Patent Examiner  
Art Unit 1652

DR  
February 5, 2006